



ATTORNEY'S DOCKET NO. M1005/7006 RJK  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Scordato, et al.  
Serial No: 09/873,522  
Filed: June 4, 2001  
For: HANDHELD PIPETTE  
Examiner: Not Yet Assigned  
Art Unit: 1743

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail via First Class Mail, addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on the 7<sup>th</sup> day of September 2001.

*Doris Champagne*  
Doris Champagne

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- ☒ Response to Notice to File Missing Parts
- ☒ Copy of Notice to File Missing Parts
- ☒ Declaration
- ☒ Verified Statement to Establish Small Entity Status
- ☒ Fee Calculation Sheet
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$ 559.00 is enclosed to cover the basic filing fee, the surcharge fee, the additional claims fee and the additional independent claims fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

*Ronald J. Kransdorf*  
\_\_\_\_\_  
Ronald J. Kransdorf  
Reg. No. 20,004  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. M1005/7006 RJK  
September 7, 2001  
x10/02/01

559714.1

Inventor(s): Scordato, et al.

Serial No: 09/873,522

Filed: June 4, 2001

For: Handheld Pipette



Attorney Docket No. M1005/7006 RJK

CHECK BOX, if applicable:

☐ DUPLICATE

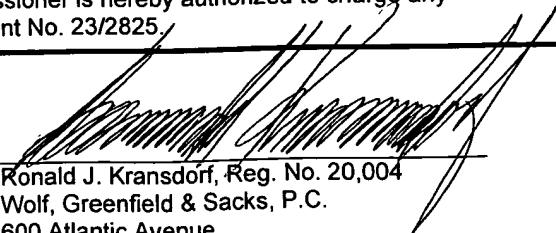
### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	31-20=	11x	\$ 18	= \$ 198.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	4-3=	1 x	\$ 80	= \$ 80.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 988.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 494.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any) Declaration Surcharge.				\$ 65.00
	TOTAL =				\$ 559.00

1. A check in the amount of \$559.00 is enclosed.

#### General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
Ronald J. Kransdorf, Reg. No. 20,004  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500  
Attorneys of Record

Docket No. M1005/7006 RJK  
Date: 09/07/01

559673.1



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/873,522	06/04/2001	Richard E. Scordato	M1005/7006 (RJK)

CONFIRMATION NO. 3542

23628  
WOLF GREENFIELD & SACKS, PC  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210-2211

FORMALITIES LETTER



\*OC000000006376284\*

Date Mailed: 08/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$139.
  - \$99 for 11 total claims over 20.
  - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 559.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - the application includes photographs of the invention subject matter that appear

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355.00 OP  
40.00 OP  
99.00 OP  
65.00 OP

01 FC:201  
02 FC:202  
03 FC:203  
04 FC:205

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capable of illustration and which are illegible after scanning (see 37 CFR 1.84(b));

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*A copy of this notice MUST be returned with the reply.*

*Thane*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FOOTED" 225E/850



#3

ATTORNEY'S DOCKET NO. M1005/7006 RJK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Scordato, et al.  
Serial No.: 09/873,522  
Filed: June 4, 2001  
For: HANDHELD PIPETTE  
Examiner: Not Yet Assigned  
Art Unit: 1743

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*Doris Champagne*  
\_\_\_\_\_  
Doris Champagne

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

This is in response to the Notice to File Missing Parts of Application mailed from the United States Patent and Trademark Office on August 2, 2001 (copy enclosed). Applicants enclose the following:

Declaration for Patent Application (signed); and

Statement claiming small entity status.

The attached check includes the small entity surcharge fee of \$65.00, the basic filing fee of \$355.00 and a total additional claims fee of \$139.00 for a total of \$559.00.

With regard to the requirement of substitute drawings, formal drawings were filed on July 30, 2001.

Accordingly, Applicants believe that this document is responsive to the Notice to File Missing Parts.

422/1100 919 922 925 928  
436/180 922 925 926 928  
9  
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864  
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864.11  
864.13  
864.16  
864.73

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 210) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By 

Ronald J. Kransdorf, Reg. No. 20,004  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Attorneys for Applicant(s)  
Tel. No. (617) 720-3500

Attorney Docket No.: M1005/7006 RJK

Date: September 7, 2001

**X10/02/01**

100750 2252350



#3

Attorney's Docket No. M1005/7006

Applicant or Patentee: Richard E. Scordato, Lou Ann Page, Kevin Costello, Sonya Schieffer and Gary Shigeru Natsume  
Serial or Patent No: 09/873,522  
Filed or Issued: June 4, 2001  
For: HANDHELD PIPETTE

### STATEMENT CLAIMING SMALL ENTITY STATUS

(Choose A, B, C or D)

**A. ☒ SMALL BUSINESS**

I am the owner or an official of the following small business empowered to act on behalf of the concern identified below.

The small business concern identified below qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

**B. ☐ NON-PROFIT**

I am the owner or an official of the following non-profit concern empowered to act on behalf of the concern identified below.

The non-profit organization identified below qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code.

**C. ☐ I am an individual inventor.**

The inventor identified below qualifies as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code.

NAME OF CONCERN or INVENTOR: VistaLab Technologies, Inc.  
ADDRESS OF CONCERN or INVENTOR: 270 Marble Avenue, Pleasantville, NY 10570

**D. ☐ Applicant is no longer a small entity.**

☐ Applicant does not wish to claim small entity status in this application.

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LIST OF OTHER CONCERNS HAVING RIGHTS IN THE INVENTION

If the rights held by the small business or nonprofit organization or individual inventor are not exclusive, each additional individual concern or organization having rights to the invention is listed below. **(If your rights are exclusive, then no such person, concern or organization should be selected).**

☒ no such person, concern or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

FULL NAME  
ADDRESS

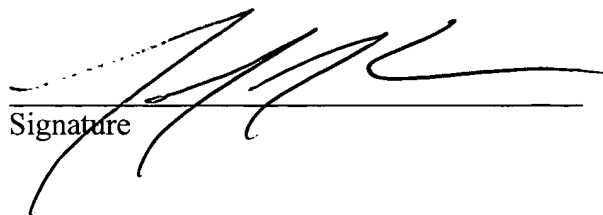
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

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The concern or inventor **identified above** acknowledges the duty to notify **the U.S. PTO** of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

PRINTED NAME OF PERSON SIGNING:  
TITLE OF PERSON (if other than owner):  
ADDRESS OF PERSON SIGNING:

Jeffrey E. Calhoun  
VP Product Development  
23 Highland Ter., Pleasantville, NY 10570

  
Signature

08/13/01  
Date